

OASIS VACCINE REVIEW:

INFLUENZA & PNEUMOCOCCAL

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Objectives

- Identify the OASIS items related to Influenza and Pneumococcal vaccines
- Identify the relationship between the OASIS vaccine items and home health quality measurement
- Describe current OASIS guidance and assessment tips to accurately complete the vaccine items

OASIS-C1/ICD-10 Vaccine Items

Influenza Vaccine

M1041) Influenza Vaccine Data Collection Period: Does this episode of care (SOC/ROC to Transfer/Discharge) include any dates on or between October 1 and March 31?

- 0 - No [Go to M1051]
- 1 - Yes

M1046) Influenza Vaccine Received: Did the patient receive the influenza vaccine for this year's flu season?

- 1 - Yes; received from your agency during this episode of care (SOC/ROC to Transfer/Discharge)
- 2 - Yes; received from your agency during a prior episode of care (SOC/ROC to Transfer/Discharge)
- 3 - Yes; received from another health care provider (for example, physician, pharmacist)
- 4 - No; patient offered and declined
- 5 - No; patient assessed and determined to have medical contraindication(s)
- 6 - No; not indicated - patient does not meet age/condition guidelines for influenza vaccine
- 7 - No; inability to obtain vaccine due to declared shortage
- 8 - No; patient did not receive the vaccine due to reasons other than those listed in responses 4 – 7.

Pneumococcal Vaccine

M1051) Pneumococcal Vaccine: Has the patient ever received the pneumococcal vaccination (for example, pneumovax)?

- 0 - No
- 1 - Yes [Go to M1500 at TRN; Go to M1230 at DC]

M1056) Reason Pneumococcal Vaccine not received: If patient has never received the pneumococcal vaccination (for example, pneumovax), state reason:

- 1 - Offered and declined
- 2 - Assessed and determined to have medical contraindication(s)
- 3 - Not indicated; patient does not meet age/condition guidelines for Pneumococcal Vaccine
- 4 - None of the above

Quality Measures:
Influenza & Pneumococcal
Vaccine Process Measures

Home Health Quality Measures

Two categories of quality measures in home care:

- 1) Outcome Measures
 - ▣ End-Result Outcomes
 - ▣ Utilization Outcomes
 - ▣ Potentially Avoidable Events
- 2) Process Measures
 - ▣ Timely Care
 - ▣ Care Coordination
 - ▣ Assessment
 - ▣ Care Planning
 - ▣ Care Implementation
 - ▣ Education
 - ▣ Prevention

Definition: Care Episode

- ▣ For all OASIS-based quality measures, the “care episode” (also referred to as “episode of care” or “quality episode”):
 - ▣ BEGINS with every SOC or ROC (admission to a home health agency or resumption of care following an inpatient facility stay), and
 - ▣ ENDS with every Transfer to Inpatient Facility (with or without discharge) or Discharge from Agency

Definition: Outcome Measure

- ▣ Outcome Measure – “a change in patient health status over two time points in an episode of care”
 - ▣ Comparing “beginning” (SOC or ROC) & “ending” (Transfer or Discharge) responses to OASIS items

NOTE: The OASIS items associated with vaccination are not involved in the calculation of any outcome measure

Definition: Process Measures

- Process Measures – evaluate the rate of Home Health Agency use of specific evidence-based processes of care
- Focus on high-risk, high-volume, problem-prone areas including:
 - Measures pertaining to all or most patients (for example, disease prevention, use of standardized validated risk assessment tools)
 - Measures for specific diagnoses (for example, heart failure, diabetes, pressure ulcers)
 - Measures of care planning and delivery of clinical interventions

Process – Prevention (10 measures)

- 1) Falls Prevention Steps Implemented
- 2) Pressure Ulcer Prevention Implemented
- 3) Influenza Immunization Received for Current Flu Season
- 4) Influenza Immunization Offered and Refused for Current Flu Season
- 5) Influenza Immunization Contraindicated
- 6) Pneumococcal Vaccine Ever Received
- 7) Pneumococcal Vaccine Offered and Refused
- 8) Pneumococcal Vaccine Contraindicated
- 9) Potential Medication Issues Identified and Timely Physician Contact at Start of Episode
- 10) Potential Medication Issues Identified and Timely Physician Contact During All Episodes of Care

Publicly Reported Process Measures (Home Health Compare)

- Influenza Immunization Received for Current Flu Season
 - One of nine measures utilized in calculation of the “Quality of Patient Care Star Rating”
- Pneumococcal Vaccine Ever Received

OASIS Guidance
Review

Influenza Immunization Received for Current Flu Season

OASIS Guidance Manual – Chapter 3:

- (M1041) Influenza Vaccine Data Collection Period identifies whether the patient was receiving services from the home health agency during the time period for which influenza vaccine data are collected (October 1—March 31)
- (M1046) Influenza Vaccine Received identifies whether the patient received an influenza vaccine for this year's flu season, and if not, the reason why
- (M1046) is skipped if the response to (M1041) is "No"
- Collected at RFA 6 & 7—Transfer to Inpatient Facility and RFA 9—Discharge from Agency
- At Transfer or Discharge, look back to the most recent ROC or back to the SOC (if there is no intervening ROC) to determine whether the patient was receiving home health services on or between October 1 and March 31

Influenza Immunization Received for Current Flu Season

Process measure positive with any "Yes" response (M1046):

- 1) Patient received the vaccine from your agency during this episode of care
- 2) Patient received the vaccine from your agency during a prior episode of care (occurring in this year's flu season)
Example:
 - Patient with SOC in August who received vaccine in October, and
 - Transferred to inpatient facility in November.
 - [For this November Transfer RFA 6, Response 1 is correct—patient received the vaccine during the current episode of care]
 - Agency then completed a ROC assessment in December, and
 - Patient is now being Discharged in May.
 - [For this May Discharge RFA 9, Response 2 is applicable—patient received vaccine in prior Aug-Nov episode of care]
- 3) Patient received vaccine from another care provider (for example, the physician, at a pharmacy, health fair)

Influenza Immunization Received for Current Flu Season

OASIS Guidance & Documentation Tips:

(M1041) Influenza Vaccine Data Collection Period:

- OASIS Q&A 62.2.2 – Patient received vaccine on September 15 and was transferred to inpatient facility on September 30
 - Transfer data collection was completed (M0090) on Oct 2
 - In this scenario, the response to M1041 would be "No" because (M0906) Transfer Date was prior to Oct 1
- The care episode ends on M0906 (not M0090 Date Completed)

(M1046) Influenza Vaccine Received:

- Responses 1, 2, or 3: Select even if flu vaccine for this year's influenza season was provided prior to October (the vaccine is often made available early – CDC determines "current flu season" by authorizing release of vaccine from manufacturers)
- Response 2: When current patient was given a flu vaccine by your agency during a previous roster billing situation during this year's flu season (prior to admission to your agency)

Influenza Immunization Received for Current Flu Season

Reasons Vaccine Not Received (M1046):

- Response 4: Patient and/or healthcare proxy refused the vaccine
 - It is not required that the home health agency offer the vaccine
 - Response 4 indicates that the vaccine was offered (for example, by physician) and was refused
- Response 5: Vaccine is medically contraindicated
 - For example, anaphylactic hypersensitivity to eggs or other component(s) of the vaccine, history of Guillain-Barre Syndrome within 6 weeks after a previous influenza vaccination, or bone marrow transplant within 6 months
- Response 6: Age/condition guidelines indicate the vaccine is not indicated (guidelines are updated and maintained by the CDC)
- Response 7: Vaccine is unavailable due to CDC-declared shortage
- Response 8: Document reason(s) other than those listed in 4-7 that the vaccine was not received

Influenza Immunization Received for Current Flu Season

(OASIS Q&A 62.5.1 – when patient & caregiver are unable to recall whether flu vaccine was received, the assessing clinician should employ other assessment strategies such as review of the medical record and communication with the physician. If unable to determine whether the patient received flu vaccine, select Response 8

Influenza Immunization Received for Current Flu Season

Tips for intentional accuracy:

- This process measure is calculated at Transfer/ Discharge with OASIS items M1041/1046, but documentation of patient's receipt of flu vaccine is needed beginning with SOC/ROC
- During SOC & ROC assessments document in the clinical record:
 - Patient's last flu vaccine (specific date is preferable but approximate time frame such as "fall/winter 2014" would also provide adequate information when determining whether vaccine was received during the current flu season)
 - When patient has a medical contraindication to vaccine, document within the record so all home health clinicians have access to that information for care coordination

Application: Influenza Vaccine

Current flu season?

Scenario: Mr. Mathis received the flu vaccine from his home health nurse on September 15. He was subsequently transferred to the hospital due to a fall at home with fractured hip on September 30. When completing the Transfer OASIS on October 1, how would the nurse respond to (M1041) and (M1046)?

Flu Vaccine 9/15 Transfer 9/30

(M1041) Influenza Vaccine Data Collection Period:

Does this episode of care (SOC/ROC to Transfer/Discharge) include any dates on or between October 1 and March 31?

- 0-No [Go to M1051]
- 1-Yes

Pneumococcal Vaccine Ever Received

OASIS Guidance Manual – Chapter 3:

- (M1051) Pneumococcal Vaccine identifies whether the patient has ever received the pneumonia vaccine
- (M1056) Reason Pneumococcal Vaccination Not Received explains why the patient has never received the pneumonia vaccine
- (M1056) is skipped if the response to (M1051) is “Yes”
- Collected at RFA 6 & 7—Transfer to Inpatient Facility and RFA 9—Discharge from Agency

Pneumococcal Vaccine Ever Received

- **Process measure positive with (M1051) “Yes” response**
- **Reasons Vaccine Not Received (M1056):**
 - **Response 1: Offered and declined**
 - It is not required that the home health agency offer the vaccine
 - Response 1 indicates that the vaccine was offered by any healthcare provider and was refused
 - **Response 2: Vaccine is medically contraindicated**
 - For example, anaphylactic hypersensitivity to component(s) of the vaccine, acute febrile illness, bone marrow transplant within past 12 months, or receiving course of chemotherapy or radiation therapy within past 2 weeks
 - **Response 3: Age/condition guidelines indicate the vaccine is not indicated (guidelines are updated and maintained by the CDC)**
 - **Response 4: Select only when patient was not provided the vaccine for reason(s) other than those listed in 1-3**

Pneumococcal Vaccine Ever Received

- OASIS Q&A 62.5.1 – when patient & caregiver are unable to recall whether pneumonia vaccine was received, the assessing clinician should employ other assessment strategies such as review of the medical record and communication with the physician. If unable to determine whether the patient received pneumonia vaccine, select:
 - (M1051) Pneumococcal Vaccine – Response 0-No
 - (M1056) Reason Pneumococcal Vaccine Not Received – Response 4-None of the above

Pneumococcal Vaccine Ever Received

Tips for intentional accuracy:

- This process measure is calculated at Transfer/ Discharge with OASIS items M1051/1056, but documentation of patient’s receipt of pneumonia vaccine is needed beginning with SOC/ROC
- During SOC & ROC assessments document in the clinical record:
 - Patient’s prior receipt of pneumonia vaccine at any time in past
 - When patient has a medical contraindication to pneumonia vaccine, document within the record so all home health clinicians have access to that information for care coordination

Resources

Resources: Current OASIS Guidance

- OASIS Guidance Manual:
www.cms.hhs.gov/homehealthqualityinits
 - Link at left "OASIS User Manuals"
- OASIS Q&A files (Categories 1, 2, 3, 4) and quarterly OASIS Q&A updates:
www.gtso.com/hhatrain.html
 - "User Guide & Training" link at top of page
 - Drop-down utility "OASIS Q&As"
 - Links to quarterly Q&A releases (published annually in Jan, Apr, Jul, Oct)



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